



美股期权交易所服务收费表

Exchange	Options Type	Charge
BATS	Penny Pilot	USD 0.48
	Non-Penny Pilot	USD 0.85
	RUT	USD 0.15
	SPY	USD 0.45
	XSP	USD 0.50
C2	Index and ETF Options((excluding RUT, DJX))	USD 0.43
	RUT, DJX	USD 0.15
	SPY, IWM, QQQ, SLV, AAPL	USD 0.37
	All Equity - Penny Symbols	USD 0.43
	All Equity - Non-Penny Symbols	USD 0.85
Cboe	ETF/HOLDERS	
	Penny Pilot >= 100 contracts	USD 0.18
	Penny Pilot < 100 contract	USD 0.00
	Non Penny Pilot >= 100 contracts	USD 0.18
	Non Penny Pilot < 100 contract	USD 0.00
	Index	
	SPX, SPESG Premium >=\$1.00	USD 0.45
	SPX, SPESG Premium < \$1.00	USD 0.36
	SPXW, Premium >=\$1.00	USD 0.45
	SPXW, Premium < \$1.00	USD 0.36
	OEX	USD 0.35
	OEX - Weekly	USD 0.35
	RUT	USD 0.18
	XEO	USD 0.35
	XEO - Weekly	USD 0.35
	VIX, Premium > 0.00	USD 0.10
	VIX, Premium > \$0.10	USD 0.25
	VIX, Premium > \$1.00	USD 0.40
	VIX, Premium >= \$2.00	USD 0.45
	Complex VIX, Premium > 0.00	USD 0.05
	Complex VIX, Premium > \$0.10	USD 0.17
	Complex VIX, Premium > \$1.00	USD 0.30
	Complex VIX, Premium > \$2.00	USD 0.45
	XSP	USD 0.07
	XSP, < 10 contracts	USD 0.00
	RUI, RLV and RLG	USD 0.00
	UKXM and FXTM	USD 0.00
	All Other - Penny Pilot	USD 0.18

(生效日期 2023 年 7 月 24 日)

地址: 香港皇后大道中 99 号中环中心 62 楼 电话: (852) 3658 6288 传真: (852) 2169 0770



華泰金融控股(香港)有限公司

HUATAI FINANCIAL HOLDINGS (HONG KONG) LIMITED

	All Other - Non- Penny Pilot	USD 0.18
	MXEA, MXEF	USD 0.25
	NANOS	USD 0.00
	Customer Priority Surcharge	
	Surcharge - VIX (maker non-turner), premium > 0.10	USD 0.20
	Execution Surcharge	
	Surcharge - SPX and SPESG	USD 0.21
	Surcharge - SPXW	USD 0.14
	AIM Hybrid Originator Surcharge	
	SPX and SPXW	USD 0.10
GEMINI	Penny Pilot Options (including SPY)	USD 0.41
	Non-Penny Contra Public Customer	USD 0.85
	Non-Penny	USD 0.85
ISE	SPY	USD 0.37
	IWM, QQQ and VXX	USD 0.37
	Penny Pilot	USD 0.37
Mercury	Penny Pilot Options	USD 0.15
	All Other	USD 0.35
	Response to PIM Penny Pilot Options	USD 0.50
	Response to PIM All Other	USD 1.10
Pearl	Penny Pilot Options	USD 0.48
	Penny Pilot Options - Contra Priority Customer	USD 0.50
	SPY Only	USD 0.46
	IWM, QQQ - Contra Priority Customer	USD 0.50
	All Other	USD 0.85
Emerald	Penny Pilot Simple Orders	USD 0.50
	Non-Penny Pilot Simple Orders	USD 0.85
	IWM, QQQ and SPY Only	USD 0.50
	All Other - Contra Priority Customer	USD 0.85
NASDAQOM	Penny Pilot Issues	USD 0.49
	ETF Penny Pilot Issues	USD 0.48
	Non-Penny Pilot	USD 0.85
	Mini-Options	USD 0.049
Nasdaq OMX	Penny Pilot	USD 0.46
	SPY Only	USD 0.33
	Non-Penny Pilot	USD 0.79
	At the Opening	
	Penny Pilot Fee for responding to order exposure alert	USD 0.39
	Non-Penny Pilot Fee for responding to order	USD 0.85

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	exposure alert	
NYSE Arca	Penny Pilot Issues	
	ETFs	USD 0.49
	All Other	USD 0.49
	Non-Penny Pilot Issues	
	All Other	USD 0.85
PHLX	SPY	USD 0.41
	PHLX Singly Listed Equity, ETF and Index Options	USD 0.40

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